

Riches Depot Securities Co., Limited

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COMMISSION AND CHARGES - HONG KONG SECURITIES MARKET

Trade-related Services

Brokerage Commission - Per Contract Note	0.25% on Transaction Amount (Min. HK\$80)
Stamp Duty	0.10% on Transaction Amount
Transaction Levy	0.0027% on Transaction Amount
Financial Reporting Council Transaction Levy	0.00015% on Transaction Amount
Trading Fee	0.00565% on Transaction Amount
CCASS Clearing Fee	0.0060% on Transaction Amount (Min. HK\$3)

Handling Share Certificate and Settlement Services

Registration & Transfer Fee	HK\$2.50 / Share Certificate
Deposit shares - Stamp Duty of Transfer Instruments (TD Fee)	HK\$5.00 / Form
Stock Deposit	0.25% of the Previous M.V. (Min. HK\$200.00 / Stock)
Stock Withdrawal	0.25% of the Previous M.V. (Min. HK\$200.00 / Stock)
SI (Input)	HK\$1.00 / Stock
SI (Stock Settlement)	0.002% of the Previous M.V. (Min. HK\$2)
SI (Stock Withdrawal)	0.001% of the Previous M.V. + Handling charges HK\$200.00
Cancel SI	HK\$100.00 / Stock
Stock Withdrawal Fee - Physical	HK\$20.00 / Stock + HK\$5.00 / Board Lot

NOMINEE SERVICES AND CORPORATE ACTIONS

Collect Fee of Dividend	0.12% on Dividend Amount
Scrip Fee (for Registration & Transfer Fee)	HK\$1.50 / Board Lot
Dividend Claim Fee + Handling Fee	0.12% on Dividend Amount + HK\$200.00 / Claim
Dividend / Bonus share Claim from HKSCC	HK\$500.00 / Transaction
Dividend Received	0.15% on Div Amt (Max. HK\$10,000) + HK\$30.00 /Transaction
Bond Interest Received	3% on Bond Int Amt (Negotiable) + HK\$500.00 /Transaction
Received Bonus share (Special Dividend) & Rights	HK\$5.00 / Board Lot (Min. HK\$50)
Received Rights	HK\$5.00 / Board Lot (Min. HK\$20)
Exercise Open Offer / Rights Issue / Warrant / Scrip Option*	HK\$2 per board lot (min.: HK\$50), plus fees collected by CCASS and HKEx, HK\$0.8 per board lot (odd lots treated as one board lot)
Application Fee for additional Rights Subscription	HK\$2 per board lot (min.: HK\$50), plus fees collected by CCASS and HKEx, HK\$0.8 per board lot (odd lots treated as one board lot)
Privatisation / Compulsory Acquisition *	0.50% of the gross cash offer amount (Min: HK\$30, Max: HK\$10,000) plus fees collected by CCASS and HKEx
Consolidation / Subdivision Fee	HK\$20.00 / Stock
Securities Redemption Fee	HK\$50.00 / Stock

ACCOUNT MAINTENANCE & OTHER SERVICES

Application New Issue Stock by CCASS "EIPO" from HKSCC*	HK\$105.00 / Transaction
Buy-In of short position from HKSCC	HK\$500.00 / Transaction
Re-printing Clients Account statements	HK\$10.00 / Daily (Min. HK\$50)
Handling Charges for Assets Verification	HK\$200.00 / Form
Handling Charges for Rush Service	HK\$2,000.00 / Stock or Transaction
Handling Charges for Stock Transfer	HK\$100.00 / Transaction per side
Custody Fee	Based on CCASS
Transfer Payment / Interbank Funds Transfer Payment(Hong Kong)	HK\$150.00 per transaction, plus additional financial institution fees

Financing Interest

Applicable Interest Rate (p.a.)

Cash Clients Debit Balances	5% + higher of Prime #
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* Including the fee charged by CCASS for HKSCC

The Prime Rate shall be the best lending rate from time to time quoted by ICBC (ASIA)

The above charges are for reference only. Riches Depot Securities Co., Limited reserves the right to revise the service fee from time to time.

Above information may be changed from time to time according to applicable rules and regulations or changes in internal requirements without prior notice. You may request for a latest copy of this Commission and Charges shedule any time from your Account Executive.

Effective from 17 Nov 2023